

# On universal LDPC code ensembles over memoryless symmetric channels

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# Outline

- 1 Overview and preliminaries
- 2 Universality under BP decoding
  - Universal achievability
  - LP bounds on the achievable rate of LDPC code ensembles
  - Universal conditions for reliable communications under BP
  - Extensions and remarks
- 3 Universality under ML decoding
- 4 Summary

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## Motivation

- Numerical methods (such as density evolution) enable to design capacity-approaching degree distributions of LDPC code ensembles for a particular channel.

### Question:

*How to design LDPC code ensembles that will **provably** operate reliably over a range of channels?*

## Selected Previous Works on Universal LDPC Codes

- M. Franceschini, G. Ferrari, and R. Raheli, *“Does the performance of LDPC codes depend on the channel?”* IEEE Trans. on COM., vol. 54, no. 12, pp. 2129-2132, December 2006.
- F. Peng, W. E. Ryan and R. D. Wesel, *“Surrogate-channel design of universal LDPC codes,”* IEEE COM. Letters, vol. 10, no. 6, pp. 480–482, June 2006.
- A. Sanaei, M. Ramezani and M. Ardakani, *“Identical-capacity channel decomposition for design of universal LDPC codes,”* IEEE Trans. on COM., vol. 57, no. 7, pp. 1972–1981, July 2009.

The works above approach the problem of universality from a numerical standpoint. In the present work the approach is analytical, albeit may not achieve the best results numerically.

## Preliminaries

- We consider communication over various families of memoryless, binary-input, output-symmetric (MBIOS) channels.
- In this work, we consider two decoding methods for LDPC codes:
  - ▶ **Belief Propagation** (BP) decoding, which is a suboptimal, iterative, decoding technique.
  - ▶ **Maximum Likelihood** (ML) decoding, which is an optimal decoder, yet it is prohibitively complex.

## Density Evolution

- Density evolution is a tool to assess the asymptotic performance of an LDPC codes ensemble under BP decoding for MBIOS channels.
- It tracks the evolution of message densities during BP decoding.
- Multi-dimensional equation  $\rightarrow$  difficult to analyze in general.
- A fruitful approach (introduced by Burshtein and Miller<sup>1</sup>) is to provide bounds on a reduction of density-evolution to a single parameter.
- In this work, we follow this approach, with bounds on the B-parameter.

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<sup>1</sup>D. Burshtein and G. Miller, "Bounds on the performance of belief propagation decoding," *IEEE Trans. on I-T*, vol. 48, no. 1, pp. 112–122, January 2002

## Useful Functionals

For an MBIOS channel with L-density  $a$ ,

- *The capacity functional:*

$$C(a) = \int_{-\infty}^{\infty} a(x) (1 - \log_2(1 + e^{-x})) dx.$$

- *The Bhattacharyya functional:*

$$\mathcal{B}(a) = \int_{-\infty}^{\infty} a(x) e^{-\frac{x}{2}} dx.$$

- *The uncoded bit error probability functional:*

$$\mathcal{E}(a) = \frac{1}{2} \int_{-\infty}^{\infty} a(x) e^{-\left(\left|\frac{x}{2}\right| + \frac{x}{2}\right)} dx.$$



## A Useful Relationship

- The following relationship between the Bhattacharyya and bit error probability functionals can be shown:

$$2\mathcal{E}(a) \leq \mathcal{B}(a) \leq 2\sqrt{\mathcal{E}(a)(1 - \mathcal{E}(a))}.$$

- In particular,

$$\mathcal{E}(a) \rightarrow 0 \Leftrightarrow \mathcal{B}(a) \rightarrow 0.$$

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## A Condition for Convergence

- Consider an arbitrary MBIOS channel with L-density  $a_0$ , and LDPC code ensemble  $(\lambda, \rho)$ .
- Denote by  $a_l$  the pdf of the left-to-right message in the  $l$ -th iteration of BP decoding.
- Denote  $x_l = \mathcal{B}(a_l)$ . It follows from density evolution that

$$x_l \leq \mathcal{B}(a_0) \lambda(1 - \rho(1 - x_{l-1})), \quad l = 1, 2, \dots$$

- Since  $\mathcal{E}(a) \rightarrow 0 \Leftrightarrow \mathcal{B}(a) \rightarrow 0$ , the bit error probability vanishes as the number of iterations grow iff  $x_l \rightarrow 0$ .

## General Approach for Universal Achievability

- Consider an arbitrary **set** of MBIOS channels and let  $\mathcal{A}$  designate the corresponding set of its L-densities.
- **Goal:** design an LDPC code ensemble with degree distributions  $(\lambda, \rho)$  that asymptotically achieves bit-error probability  $\rightarrow 0$  over  $\mathcal{A}$ .
- Let

$$B \triangleq \max_{a \in \mathcal{A}} \mathcal{B}(a).$$

- Consider the recursive equation

$$y_l = B \lambda(1 - \rho(1 - y_{l-1})), \quad l = 1, 2, \dots$$

with initial condition  $y_0 = B$ .

- ▶ This refers to the density evolution of a BEC with erasure probability  $B$ .

## General Approach for Universal Achievability – cont.

- Compare:

$$x_0 = \mathcal{B}(a_0); \quad x_l \leq \mathcal{B}(a_0) \lambda(1 - \rho(1 - x_{l-1})), \quad l = 1, 2, \dots$$

$$y_0 = B; \quad y_l = B \lambda(1 - \rho(1 - y_{l-1})), \quad l = 1, 2, \dots$$

- Since by definition,  $\mathcal{B}(a_0) \leq B$ , it follows by induction that for every  $l \geq 0$  and  $a \in \mathcal{A}$ ,

$$0 \leq x_l \leq y_l.$$

## General Approach for Universal Achievability – cont.

- Compare:

$$x_0 = \mathcal{B}(a_0); \quad x_l \leq \mathcal{B}(a_0) \lambda(1 - \rho(1 - x_{l-1})), \quad l = 1, 2, \dots$$

$$y_0 = B; \quad y_l = B \lambda(1 - \rho(1 - y_{l-1})), \quad l = 1, 2, \dots$$

- Since by definition,  $\mathcal{B}(a_0) \leq B$ , it follows by induction that for every  $l \geq 0$  and  $a \in \mathcal{A}$ ,

$$0 \leq x_l \leq y_l.$$

- Recall: Bit error probability  $\rightarrow 0 \Leftrightarrow x_l \rightarrow 0$ .

## General Approach for Universal Achievability – cont.

- Compare:

$$x_0 = \mathcal{B}(a_0); \quad x_l \leq \mathcal{B}(a_0) \lambda(1 - \rho(1 - x_{l-1})), \quad l = 1, 2, \dots$$

$$y_0 = B; \quad y_l = B \lambda(1 - \rho(1 - y_{l-1})), \quad l = 1, 2, \dots$$

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$$0 \leq x_l \leq y_l.$$

- Recall: Bit error probability  $\rightarrow 0 \Leftrightarrow x_l \rightarrow 0$ .
- Therefore, selecting a pair of degree distributions  $(\lambda, \rho)$  such that  $y_l \rightarrow 0$  yields  $x_l \rightarrow 0$  for every MBIOS channel from the set  $\mathcal{A}$ .

## General Approach for Universal Achievability – cont.

- Compare:

$$x_0 = \mathcal{B}(a_0); \quad x_l \leq \mathcal{B}(a_0) \lambda(1 - \rho(1 - x_{l-1})), \quad l = 1, 2, \dots$$

$$y_0 = B; \quad y_l = B \lambda(1 - \rho(1 - y_{l-1})), \quad l = 1, 2, \dots$$

- Since by definition,  $\mathcal{B}(a_0) \leq B$ , it follows by induction that for every  $l \geq 0$  and  $a \in \mathcal{A}$ ,

$$0 \leq x_l \leq y_l.$$

- Recall: Bit error probability  $\rightarrow 0 \Leftrightarrow x_l \rightarrow 0$ .
- Therefore, selecting a pair of degree distributions  $(\lambda, \rho)$  such that  $y_l \rightarrow 0$  yields  $x_l \rightarrow 0$  for every MBIOS channel from the set  $\mathcal{A}$ .

**The LDPC code ensemble  $(\lambda, \rho)$  is universal over the entire set  $\mathcal{A}$ .**



## General Approach for Universal Achievability – Summary

To construct an LDPC code ensemble that is universal over a set  $\mathcal{A}$  of MBIOS channels:

- Find

$$B = \max_{a \in \mathcal{A}} \mathcal{B}(a).$$

- Construct a capacity-achieving LDPC code ensemble for  $\text{BEC}(B)$  that achieves vanishing bit error probability under BP decoding.

- ▶ For this code,

$$R_d = 1 - B.$$

- This ensemble will achieve bit error probability  $\rightarrow 0$  under BP decoding for **all** MBIOS channels in the set  $\mathcal{A}$ .

$\implies$  This code is universal over the set.

## Theorem (Universality of LDPC Codes under BP Decoding for Equi-Capacity MBIOS Channels)

- Let  $\mathcal{A}$  be a set of MBIOS channels that exhibit a given capacity  $C$ , and let  $B = \max_{a \in \mathcal{A}} \mathcal{B}(a)$ .
- Let  $\{(n, \lambda, \rho)\}$  form a capacity-achieving sequence of LDPC code ensembles for  $BEC(B)$ , achieving vanishing bit erasure probability under BP decoding.
- Then, this sequence universally achieves vanishing bit error probability under BP decoding for the entire set  $\mathcal{A}$ , and the design rate of this sequence forms a fraction that is at least  $\frac{1-B}{C}$  of the channel capacity.

## Application of the Theorem

- *The family of equi-capacity MBIOS channels with capacity  $C$* 
  - ▶ The BSC exhibits the maximal B-parameter.
  - ▶ The asymptotic achievable fraction of channel capacity is

$$\mu_1(C) \triangleq \frac{R_d}{C} = \frac{1 - \sqrt{4h_2^{-1}(1-C)(1-h_2^{-1}(1-C))}}{C}.$$

## Application of the Theorem

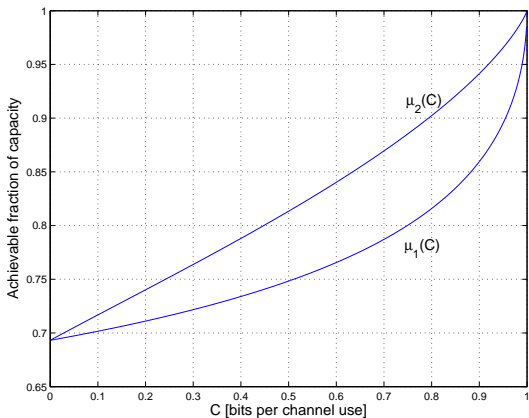
- *The family of equi-capacity MBIOS channels with capacity  $C$* 
  - ▶ The BSC exhibits the maximal B-parameter.
  - ▶ The asymptotic achievable fraction of channel capacity is

$$\mu_1(C) \triangleq \frac{R_d}{C} = \frac{1 - \sqrt{4h_2^{-1}(1-C)(1-h_2^{-1}(1-C))}}{C}.$$

- *BEC and BIAWGNC with capacity  $C$* 
  - ▶ The BIAWGNC exhibits the maximal B-parameter,  $B$  (computed numerically).
  - ▶ The asymptotic achievable fraction of channel capacity is

$$\mu_2(C) = \frac{1 - B}{C}.$$

# Universal achievable fraction of capacity under BP decoding



$\mu_1$ : The entire set of equi-capacity MBIOS channels.

$\mu_2$ : BEC and BIAWGNC with capacity  $C$  bits per channel use.

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## LP Bounds on the Achievable Rate of LDPC Code Ensembles

- Let  $\mathcal{A}$  be a family of equi-capacity MBIOS channels.
- Consider a right-regular LDPC code ensemble with left-degree distribution  $\lambda$  and right-degree  $d_c$  operating over any channel from  $\mathcal{A}$ .
- Design rate:

$$R_d = 1 - \frac{1}{d_c \sum_{i=2}^{d_v^{\max}} \lambda_i / i}$$

Therefore, maximization of  $R_d \iff$  maximization of  $\sum_{i=2}^{d_v^{\max}} \lambda_i / i$ .

- A suitable LP on  $\lambda$  will provide an upper bound on achievable rate of right-regular LDPC code ensembles over any channel from  $\mathcal{A} \implies$  lower bound on gap to capacity,  $\varepsilon = 1 - R_d/C$ .

## LP1 Bound

Find  $\lambda$  that maximizes  $\sum_{i=2}^{d_v^{\max}} \lambda_i/i$  subject to:

- Necessary conditions for achieving vanishing bit error probability under BP decoding over  $\mathcal{A}$ .
- Constraints on  $\lambda$  to form a valid probability distribution.
- Universal inequality constraints on the design rate to achieve bit error probability  $\rightarrow 0$  over  $\mathcal{A}$ .



## Necessary conditions for vanishing bit error probability under BP decoding – 1

### Theorem (A Necessary Condition for Universality of LDPC Code Ensembles under BP Decoding)

Let  $\{(n, \lambda, \rho)\}$  be a **right-regular** sequence of LDPC code ensembles, universally achieving vanishing bit error probability under BP decoding for a set of MBIOS channels  $\mathcal{A}$ . Then, the following condition holds

$$B\lambda(\sqrt{1 - \rho(1 - x^2)}) < x, \quad \forall x \in (0, B]$$

where  $B$  designates the maximal Bhattacharyya parameter over the set  $\mathcal{A}$ .

## Necessary conditions for vanishing bit error probability under BP decoding – 2

- Another necessary condition for asymptotically achieving vanishing bit error probability under BP decoding is the stability condition,

$$\mathcal{B}(a)\lambda'(0)\rho'(1) < 1.$$

- It should be satisfied for all channels in  $\mathcal{A}$ .

## Necessary conditions for vanishing bit error probability under BP decoding – 2

- Another necessary condition for asymptotically achieving vanishing bit error probability under BP decoding is the stability condition,

$$\mathcal{B}(a)\lambda'(0)\rho'(1) < 1.$$

- Denote

$$B = \max_{a \in \mathcal{A}} \mathcal{B}(a).$$

- If

$$B\lambda'(0)\rho'(1) \leq 1$$

then the stability condition is satisfied for every channel in  $\mathcal{A}$ .

## Universal inequality constraints on the design rate

- An LDPC code that achieves bit error probability  $\rightarrow 0$  over an MBIOS channel with capacity  $C$  must satisfy:<sup>2</sup>

$$0 \leq R_d \leq 1 - \frac{1 - C}{h_2 \left( \frac{1 - C \frac{a_R}{2}}{2} \right)}.$$

- Using  $a_R = d_c$  for a right-regular ensemble and the expression for  $R_d$  we obtain:

$$\frac{1}{d_c} \leq \sum_{i=2}^{d_v^{\max}} \frac{\lambda_i}{i} \leq \frac{1}{(1 - C)d_c} \cdot h_2 \left( \frac{1 - C \frac{d_c}{2}}{2} \right).$$

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<sup>2</sup>I. Sason, "On universal properties of capacity-approaching LDPC code ensembles," IEEE Trans. on I-T, vol. 55, no. 7, pp. 2956 – 2990, July 2009.

## LP1 Bound

Find  $\lambda$  that maximizes  $\sum_{i=2}^{d_v^{\max}} \lambda_i/i$  subject to:

- Necessary conditions for achieving vanishing bit error probability under BP decoding over a set  $\mathcal{A}$  of MBIOS channels with maximal B-parameter  $B$ :
  - ▶  $B\lambda(\sqrt{1 - \rho(1 - x^2)}) < x, \quad \forall x \in (0, B]$
  - ▶  $B\lambda_2\rho'(1) \leq 1$
- Constraints on  $\lambda$  to form a valid probability distribution:
  - ▶  $\lambda_i \geq 0 \quad i = 2, 3, \dots$
  - ▶  $\sum_{i=2}^{d_v^{\max}} \lambda_i = 1$
- Universal inequality constraints on the design rate to achieve bit error probability  $\rightarrow 0$  over  $\mathcal{A}$ .

## LP2 Bound

- A possible improvement of the bound is obtained by considering the case where the BEC is in the set of equi-capacity MBIOS channels  $\mathcal{A}$ .
- *New necessary condition:* for a BEC, the condition for achieving vanishing bit erasure probability under BP decoding is:

$$(1 - C)\lambda(1 - \rho(1 - x)) < x, \quad \forall 0 < x \leq 1 - C.$$

- Other conditions:
  - ▶ The stability condition is satisfied for the entire set  $\mathcal{A}$ .
  - ▶ Conditions on  $\lambda$  to form a valid probability distribution.
  - ▶ Universal inequality constraints on the design rate to achieve bit error probability  $\rightarrow 0$  over  $\mathcal{A}$ .

## LP Bound Results

- Tables show lower bounds on  $\varepsilon = 1 - R_d/C$ .
- LP1 bound results:

Capacity ( $C$ )	Set of all Equi-Capacity Channels			BEC + BIAWGNC		
	$d_c = 8$	$d_c = 10$	$d_c = 12$	$d_c = 8$	$d_c = 10$	$d_c = 12$
$\frac{1}{2}$	$2.83 \cdot 10^{-3}$	$7.05 \cdot 10^{-4}$	$1.76 \cdot 10^{-4}$	$2.83 \cdot 10^{-3}$	$7.05 \cdot 10^{-4}$	$1.76 \cdot 10^{-4}$
$\frac{3}{4}$	$9.09 \cdot 10^{-2}$	$1.79 \cdot 10^{-2}$	$7.84 \cdot 10^{-3}$	$7.90 \cdot 10^{-2}$	$1.43 \cdot 10^{-2}$	$7.84 \cdot 10^{-3}$
$\frac{9}{10}$	$2.06 \cdot 10^{-1}$	$1.57 \cdot 10^{-1}$	$1.20 \cdot 10^{-1}$	$1.73 \cdot 10^{-1}$	$1.33 \cdot 10^{-1}$	$1.03 \cdot 10^{-1}$

- Results for LP2 bound, in which  $\text{BEC} \in \mathcal{A}$ :

Capacity ( $C$ )	Set of all Equi-Capacity Channels			BEC + BIAWGNC		
	$d_c = 8$	$d_c = 10$	$d_c = 12$	$d_c = 8$	$d_c = 10$	$d_c = 12$
$\frac{1}{2}$	$1.50 \cdot 10^{-2}$	$9.01 \cdot 10^{-3}$	$1.94 \cdot 10^{-2}$	$1.25 \cdot 10^{-2}$	$6.76 \cdot 10^{-3}$	$1.73 \cdot 10^{-2}$
$\frac{3}{4}$	$9.09 \cdot 10^{-2}$	$4.24 \cdot 10^{-2}$	$2.75 \cdot 10^{-2}$	$7.90 \cdot 10^{-2}$	$3.99 \cdot 10^{-2}$	$2.42 \cdot 10^{-2}$
$\frac{9}{10}$	$2.06 \cdot 10^{-1}$	$1.57 \cdot 10^{-1}$	$1.20 \cdot 10^{-1}$	$1.73 \cdot 10^{-1}$	$1.33 \cdot 10^{-1}$	$1.03 \cdot 10^{-1}$

- Note: columns differ in maximal B-parameter over the set.

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## Theorem (Universal Conditions on the Bhattacharyya parameter for Good/ Bad Communications under BP Decoding)

Let  $\{(n, \lambda, \rho)\}$  be a sequence of LDPC code ensembles whose block lengths  $\rightarrow \infty$ . The following universal properties hold under BP decoding:

- This sequence achieves bit error probability  $\rightarrow 0$  under BP decoding for every MBIOS channel whose B-parameter is less than

$$B_0(\lambda, \rho) \triangleq \inf_{x \in (0,1]} \frac{x}{\lambda(1 - \rho(1 - x))}.$$

- For a right-regular sequence, it does not achieve reliable communications over any MBIOS channel whose B-parameter is greater than

$$B_1(\lambda, \rho) \triangleq \inf_{x \in (0,1]} \frac{x}{\lambda(\sqrt{1 - \rho(1 - x^2)})}.$$

## Theorem (cont.)

For every MBIOS channel whose  $B$ -parameter  $B$  satisfies  $B > B_1(\lambda, \rho)$ , BP decoding is not reliable in the sense that the left-to-right message error probability (i.e., the average probability of error for a message emanating from a variable node to a parity-check node) is greater than the positive value

$$\left( \frac{1}{2} \max \left\{ x \in (0, 1] : \frac{x}{\lambda(\sqrt{1 - \rho(1 - x^2)})} \leq B \right\} \right)^2$$

irrespective of the number of iterations performed by the BP decoder.

A proof of this theorem follows from fixed-point analyses based on the general approach presented above and the necessary condition shown in the previous section.

If all we know is that  $B > B_1(\lambda, \rho)$  we can still lower-bound the left-to-right message error probability:

### Corollary (1)

For every MBIOS channel with  $B$ -parameter  $B > B_1(\lambda, \rho)$ , define

$$x(B) \triangleq \max \left\{ x \in (0, 1] : \frac{x}{\lambda(\sqrt{1 - \rho(1 - x^2)})} \leq B \right\}.$$

Then the (average) left-to-right message error probability is bounded away from zero by the universal bound

$$\eta \triangleq \lim_{B \rightarrow B_1(\lambda, \rho)^+} \left( \frac{x(B)}{2} \right)^2$$

irrespective of the number of iterations of the BP decoder.

## Corollary (2)

*The left-to-right message error probability stays bounded away from zero under BP decoding for every MBIOS channel whose B-parameter is greater than*

$$B_2(\lambda, \rho) \triangleq \min \left\{ B_1(\lambda, \rho), \frac{1}{\lambda'(0)\rho'(1)}, \sqrt{1 - R_d^2} \right\}$$

*where  $R_d$  is the design rate of the ensemble.*

## Results for some LDPC ensembles with $R_d = 1/2$

$\lambda(x) = \sum_i \lambda_i x^{i-1}$	$\rho(x) = \sum_i \rho_i x^{i-1}$	$B_0$	$B_2$	$B_1$	$\eta$
$\lambda_3 = 1$	$\rho_6 = 1$	0.4294	0.6553	0.6553	$6.50 \cdot 10^{-2}$
$\lambda_2 = 0.4127, \lambda_3 = 0.1762, \lambda_4 = 0.1177$ $\lambda_7 = 0.1202, \lambda_8 = 0.1731$	$\rho_6 = 1$	0.4816	0.4846	0.7066	$8.45 \cdot 10^{-2}$
$\lambda_4 = 1$	$\rho_8 = 1$	0.3834	0.6192	0.6192	$6.58 \cdot 10^{-2}$
$\lambda_2 = 0.2879, \lambda_3 = 0.1222, \lambda_4 = 0.0905$ $\lambda_6 = 0.1174, \lambda_7 = 0.0300, \lambda_{12} = 0.0807$ $\lambda_{13} = 0.0831, \lambda_{32} = 0.0050, \lambda_{33} = 0.1831$	$\rho_8 = 1$	0.4962	0.4962	0.7146	$1.02 \cdot 10^{-1}$
$\lambda_5 = 1$	$\rho_{10} = 1$	0.3416	0.5884	0.5884	$6.18 \cdot 10^{-2}$
$\lambda_2 = 0.2226, \lambda_3 = 0.1013, \lambda_4 = 0.0504, \lambda_5 = 0.0646$ $\lambda_6 = 0.0445, \lambda_{10} = 0.1219, \lambda_{11} = 0.0117$ $\lambda_{24} = 0.0903, \lambda_{25} = 0.0678, \lambda_{100} = 0.2248$	$\rho_{10} = 1$	0.4988	0.4992	0.7123	$1.08 \cdot 10^{-1}$

- If  $B < B_0$ , then  $\mathcal{E}(a_l) \rightarrow 0$  under BP decoding.
- If  $B > B_2$ , then  $\mathcal{E}(a_l) > 0$  under BP decoding.
- If  $B > B_1$ , then  $\mathcal{E}(a_l) > \eta$  under BP decoding.

## Results for some LDPC ensembles with $R_d = 1/2$

$\lambda(x) = \sum_i \lambda_i x^{i-1}$	$\rho(x) = \sum_i \rho_i x^{i-1}$	$B_0$	$B_2$	$B_1$	$\eta$
$\lambda_3 = 1$	$\rho_6 = 1$	0.4294	0.6553	0.6553	$6.50 \cdot 10^{-2}$
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- In general, there is a gap between  $B_0$  and  $B_2$ .
- However, in some cases, they can be very close and sometimes even coincide.

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## Extensions and Remarks

- Under certain conditions on  $\lambda$  (e.g.,  $\lambda_2 = 0$ ),<sup>3</sup> vanishing **bit** error probability  $\implies$  vanishing **block** error probability. Thus, the results can be extended to universality in terms of vanishing block error probability.
- The results under BP decoding can be extended to other families of codes defined on graphs that can be analyzed via density-evolution equations (e.g. IRA codes).
- The general approach for universal achievability can be applied to other families of MBIOS channels as well (e.g., the family of MBIOS channels with equal B-parameter).

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<sup>3</sup>H. Jin and T. J. Richardson, "Block error iterative decoding capacity for LDPC codes," Proc. ISIT 2005, pp. 52–56, Adelaide, Australia, September 2005.



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## Universality under ML decoding

- The results for universality under BP decoding automatically extend to the ML decoding case.
- However, under ML decoding stronger results are possible:
  - ▶ Capacity can be approached **arbitrarily closely** for the entire set of equi-capacity MBIOS channels.
  - ▶ ML decoding can achieve vanishing **block** error probability.

## Universality under ML decoding (Cont.)

### Theorem

*Under ML decoding, Gallager's regular LDPC code ensembles can be made universal for the set  $\mathcal{A}$  of MBIOS channels that exhibit a given capacity  $C$ . More explicitly,*

- *For any  $\varepsilon > 0$ , there exists a sequence of these code ensembles whose design rate forms at least a fraction  $1 - \varepsilon$  of the channel capacity with vanishing block error probability for the entire set  $\mathcal{A}$ .*
- *The right degree of this sequence scales like  $\log \frac{1}{\varepsilon}$ .*

## Proof Outline

- Consider an arbitrary MBIOS channel with channel capacity  $C$ .
- Utilize upper bounds on the decoding error probability of a sequence of LDPC code ensembles under ML decoding that rely on the weight distribution of the code.<sup>4</sup>
- Using tight bounds<sup>5</sup> on the average weight distribution of Gallager's ensemble, determine the parameters of a sequence of Gallager's ensembles to achieve **block** error probability  $\rightarrow 0$  with  $R_d = (1 - \varepsilon)C$ .

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<sup>4</sup>G. Miller and D. Burshtein, "Bounds on the maximum-likelihood decoding error probability of LDPC codes," IEEE Trans. on I-T, vol. 47, no. 7, pp. 4793 – 4821, Nov. 2001.

<sup>5</sup>I. Sason and R. Urbanke, "Parity-check density versus performance of binary linear block codes over memoryless symmetric channels," IEEE Trans. on I-T, vol. 49, no. 7, pp. 1611 – 1635, July 2003.

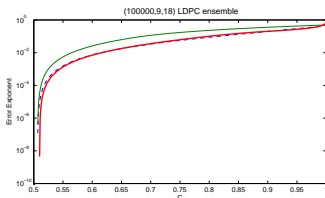
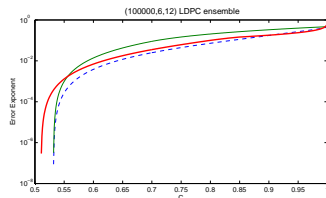
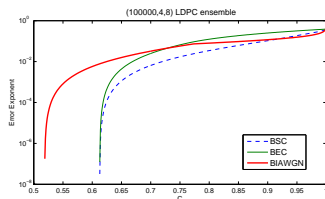
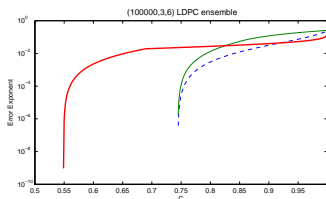
## Proof Outline

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- Using tight bounds<sup>5</sup> on the average weight distribution of Gallager's ensemble, determine the parameters of a sequence of Gallager's ensembles to achieve **block** error probability  $\rightarrow 0$  with  $R_d = (1 - \varepsilon)C$ .
- The analysis in [5] depends *solely on the channel capacity*  $\implies$  the result is universal for the entire set of equi-capacity MBIOS channels.

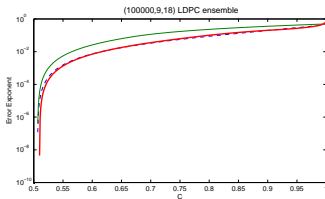
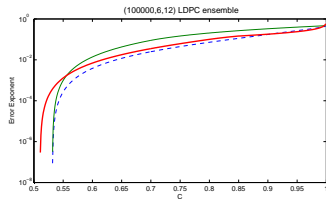
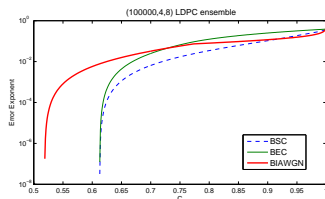
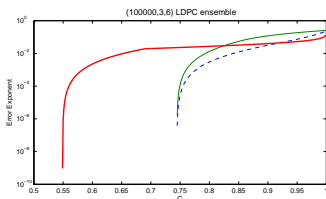
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<sup>4</sup>G. Miller and D. Burshtein, "Bounds on the maximum-likelihood decoding error probability of LDPC codes," IEEE Trans. on I-T, vol. 47, no. 7, pp. 4793 – 4821, Nov. 2001.

<sup>5</sup>I. Sason and R. Urbanke, "Parity-check density versus performance of binary linear block codes over memoryless symmetric channels," IEEE Trans. on I-T, vol. 49, no. 7, pp. 1611 – 1635, July 2003.



- Lower bounds on the error exponent for expurgated Gallager's regular LDPC code ensembles over various MBIOS channels.
- The codes have  $R_d = 1/2$  and block length  $n = 100000$ , with increasing variable and check node degrees.



- As the node degrees increase, the vanishing point of the error exponent approaches the channel capacity **regardless of the MBIOS channel**.

## Universality under Random Puncturing

- Puncturing a linear block code generates a sequence of new codes with possibly higher rate.
- Random puncturing of  $nq$  bits of a mother code of length  $n$  and rate  $R$  yields a punctured code of length  $n(1 - q)$  and rate at most  $R/(1 - q)$ .
- Rate reduction occurs when two different codewords of the mother code are mapped to the same codeword after puncturing.
- It is possible to provide conditions on the mother ensemble<sup>6</sup> such that Gallager's regular LDPC code ensembles suffer no rate reduction under random puncturing.

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<sup>6</sup>C. Hsu and A. Anastasopoulos, "Capacity achieving LDPC codes through puncturing," IEEE Trans. on I-T, vol. 54, no. 10, pp. 4698 – 4706, October 2008.



## Universality under Random Puncturing

- Consider a mother code sequence with design rate  $R_d$ . Under the conditions for zero rate reduction, the punctured sequence has design rate:

$$R'_d = \frac{R_d}{1 - q}.$$

- From [6], if the mother code sequence achieves a fraction  $(1 - \varepsilon)$  of capacity  $C$ , then the punctured sequence achieves a fraction  $(1 - \varepsilon)$  of

$$C' = \frac{C}{1 - q}.$$

- The analysis in [6] relies only on the capacity of the MBIOS channel and the condition for zero rate reduction  $\implies$  the result is universal for the entire set of equi-capacity MBIOS channels.

## Universality under Random Puncturing – cont.

### Theorem

Let  $\varepsilon > 0$  and consider a sequence of regular  $(n, j, k)$  LDPC code ensembles with design rate  $R_d \geq (1 - \varepsilon)C$  that achieves block error probability  $\rightarrow 0$  under ML decoding over the entire set of MBIOS channels with capacity  $C$ .

Random puncturing of a fraction  $q$  of code bits from this sequence produces a new sequence of punctured code ensembles with any desired design rate  $R'_d > R_d$  such that:

- It achieves block error probability  $\rightarrow 0$  under ML decoding over the entire set of MBIOS channels with capacity  $C' = \frac{C}{1-q}$ .
- It achieves a fraction of at least  $1 - \varepsilon$  of the capacity  $C'$ .

Note: The design rate of the mother sequence must be low enough to satisfy the condition for zero rate reduction.

# Outline

- 1 Overview and preliminaries
- 2 Universality under BP decoding
  - Universal achievability
  - LP bounds on the achievable rate of LDPC code ensembles
  - Universal conditions for reliable communications under BP
  - Extensions and remarks
- 3 Universality under ML decoding
- 4 Summary

## Summary

- An analytical method was derived for the design of universal LDPC code ensembles over an arbitrary set of MBIOS channels. These ensembles achieve vanishing bit error probability under BP decoding.
- The method was analyzed for families of MBIOS channels with a fixed capacity/B-parameter.
- These universal ensembles are easy to calculate by an analytical approach, but are not capacity-achieving under BP decoding.
- We presented LP upper bounds on the achievable rate of universal LDPC code ensembles over a set of equi-capacity MBIOS channels.

## Summary – cont.

- We derived universal conditions on the  $B$ -parameter for reliable communications under BP decoding. The conditions were presented in an easy-to-compute, closed, form.
- These conditions were computed for several LDPC code ensembles.
- Under ML decoding, Gallager's regular LDPC code ensembles can be made universally capacity achieving with vanishing block error probability over the entire set of equi-capacity MBIOS channels.
- Furthermore, randomly punctured LDPC code ensembles can also be made universal under ML decoding.

## Further Reading

This talk is based on the paper:

I. Sason and B. Shuval, "*On Universal LDPC Code Ensembles Over Memoryless Symmetric Channels*," IEEE Trans. on Information Theory, submitted in April 2010 and revised in January 2011.

Thank you for your attention!