

# On Universal Properties of Capacity-Approaching LDPC Code Ensembles

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## Degree Distributions of LDPC Code Ensembles

Consider the case where transmission takes place over a memoryless, binary-input output-symmetric (MBIOS) channel.

- Let  $a$  designate the pdf of the log-likelihood ratio (LLR) at the channel output given that the channel input is zero. Then, the symmetry property holds (i.e.,  $a(l) = e^l a(-l)$  for  $l \in \mathbb{R}$ ).
- Consider LDPC code ensembles whose design rate forms a fraction  $1 - \varepsilon$  of the channel capacity with a target bit error probability  $P_b$ .

### Question

*What can be said about the degree distributions of the LDPC code ensembles in this setting ?*

## Degree Distributions of LDPC Code Ensembles (Cont.)

In this work

- Linear programming (LP) bounds on the degree distributions of LDPC code ensembles are derived.
- They provide upper bounds on the fraction of edges or nodes up to degree  $k$  where  $k$  is a parameter.
- They are general since they hold even under ML decoding (and, hence, also under any sub-optimal decoding algorithm).
- The bounds also apply to finite-length codes.
- Analytical solutions of these bounds are obtained via Lagrange duality, and these bounds are easy to calculate.

## A Brief Outline of the Derivation of the LP Bounds

- A lower bound on the conditional entropy for binary linear block codes transmitted over MBIOS channels gets the form

$$\frac{H(\mathbf{X}|\mathbf{Y})}{n} \geq R - C + \frac{1-R}{2 \ln 2} \sum_{p=1}^{\infty} \frac{\Gamma(g_p)}{p(2p-1)}$$

where

$$g_p \triangleq \int_0^{\infty} a(l)(1 + e^{-l}) \tanh^{2p} \left( \frac{l}{2} \right) dl, \quad p \in \mathbb{N}.$$

and  $\Gamma(x) \triangleq \sum_k \Gamma_k x^k$  forms the degree distribution of the parity-check nodes, from the node perspective, of an arbitrary representation of the code by a **full-rank** parity-check matrix (Wiechman & Sason, IEEE Trans. on IT, Feb. 2007).

- Fano inequality.
- $g_p \geq (g_1)^p$ , for every  $p \in \mathbb{N}$ , with equality for the BSC.
- An adaptation of these results to LDPC code ensembles, whose parity-check matrices are not necessarily full rank (i.e., the parity-check equations are linearly dependent), is needed.
- The above IT bound is proved to hold for every code from a binary LDPC code ensemble when the code rate  $R$  is replaced by the design rate ( $R_d$ ) of the ensemble ( $R \geq R_d$ ).
- The derivation of the LP bounds finally relies on the equality

$$\frac{1}{2 \ln 2} \sum_{k=1}^{\infty} \frac{u^k}{k(2k-1)} = 1 - h_2 \left( \frac{1 - \sqrt{u}}{2} \right), \quad \forall u \in [0, 1].$$

where  $h_2$  designates the binary entropy function on base 2.

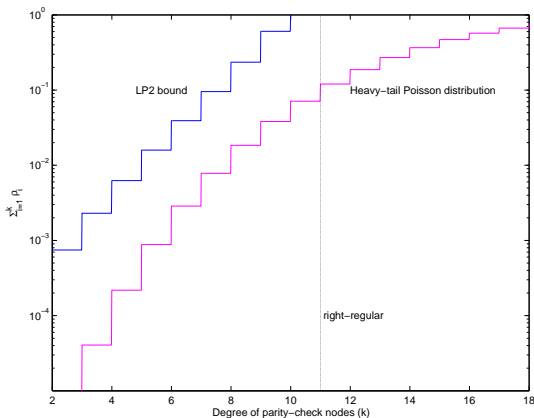
# LP1 bound for the degree distribution of the parity-check nodes for LDPC code ensembles

$$\begin{array}{l}
 \text{maximize} \quad \sum_{i=1}^k \rho_i, \quad k = 1, 2, \dots \\
 \text{subject to} \\
 \left\{ \begin{array}{l}
 \sum_{i=1}^{\infty} \left\{ \left[ 1 - h_2 \left( \frac{1-g_1^2}{2} \right) \right] \frac{\rho_i}{i} \right\} \leq \frac{\varepsilon C + h_2(P_b)}{1 - (1-\varepsilon)C} \sum_{i=1}^{\infty} \frac{\rho_i}{i} \\
 \sum_{i=1}^{\infty} \rho_i = 1 \\
 \rho_i \geq 0, \quad i = 1, 2, \dots
 \end{array} \right.
 \end{array}$$

where the optimization variables are  $\{\rho_i\}_{i \geq 1}$ . The quantity  $g_1$  above depends on the channel statistics only.

## LP2 bound for the degree distribution: Universal for all equi-capacity MBIOS channels

Replace the parameter  $g_1$  with the channel capacity  $C$  (where, in general,  $g_1 \geq C$  with equality for the BEC).



**Figure:** The universal LP2 bound versus the heavy-tail Poisson degree distribution, and the degree distribution of the right-regular LDPC ensemble. It refers to the fraction of edges which are attached to parity-check nodes of degree  $\leq k$  for an integer  $k \geq 2$ . Considered here is a BEC whose capacity is  $\frac{1}{2}$  bit per channel use, and where 99.9% of capacity is achieved under iterative message-passing decoding with vanishing bit erasure probability.



## Asymptotic Behavior of the Degree Distributions

### Corollary

*If the asymptotic bit error/ erasure probability vanishes, then the following properties hold for an arbitrary finite degree  $i$*

$$L_i = O(1), \quad R_i = O(\varepsilon),$$

$$\lambda_i = O\left(\frac{1}{\ln \frac{1}{\varepsilon}}\right), \quad \rho_i = O\left(\frac{\varepsilon}{\ln \frac{1}{\varepsilon}}\right).$$

*where  $\{L_i\}$  and  $\{R_i\}$  are the degree distributions of the variable and parity-check nodes, respectively, and  $\{\lambda_i\}$  and  $\{\rho_i\}$  are the corresponding degree distributions from the edge perspective.*

- These bounds hold under ML decoding (or any other algorithm).
- The upper bounds on the left degree distribution look at first glance looser than those for the right degree distribution (due to the additional factor  $\varepsilon$  in the latter case).
- However, it is not an artifact of the bounding technique, as it indeed reflects reality, e.g.:
  - ▶ For various capacity-achieving degree distributions on the BEC with iterative message-passing decoding, the fraction of degree-2 variable nodes tends to  $\frac{1}{2}$ .
  - ▶ The upper bound on the fraction of edges connected to degree-2 variable nodes ( $\lambda_2$ ) is shown in this work to be obtained for the right-regular LDPC code ensemble of Shokrollahi which achieves capacity on the BEC under iterative decoding.

# Information-Theoretic Lower Bounds on the Tradeoff Between the Graphical Complexity and Performance

## Question

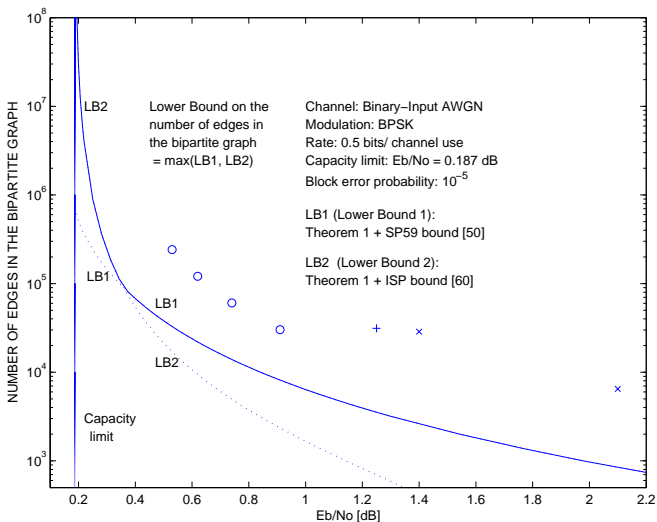
*Consider the representation of a finite-length binary linear block code by an arbitrary bipartite graph. How simple can such a graphical representation be as a function of the channel model, target block error probability, and code rate ?*

Answer  $\Rightarrow$

- Information-theoretic lower bounds which measure the inherent graphical complexity of finite-length LDPC codes as a function of their achievable gap to capacity.
- Provides a measure of the sub-optimality of explicit constructions of LDPC codes by comparing to information-theoretic bounds.

## Graphical Complexity versus Performance (Cont.)

- We provide in this work an information-theoretic lower bound on the graphical complexity which depends on the channel model, target block error probability, and the code rate.
- This bound relies on two previous information-theoretic results:
  - ▶ A new lower bound on the average left/ right degrees in the bipartite graph as a function of the channel, target block/ bit error probability, and the achievable gap to capacity.
  - ▶ Sphere-packing lower bounds: We rely here on the classical 1959 sphere-packing bound of Shannon, and the recently introduced ISP bound (Wiechman & Sason, IEEE Trans. on IT, May 2008).
- The graphical complexity, defined as the number of edges in the bipartite graph, is simply the product of the block length and the average left degree.



**Figure:** A comparison between the graphical complexity of various efficient LDPC code ensembles and an information-theoretic lower bound.

# Cardinality of the Fundamental System of Cycles of Good LDPC Code Ensembles

- Binary Linear block codes which are represented by cycle-free bipartite graphs are not good even under ML decoding.
- A theoretical treatment of cycle-free codes was provided by T. Etzion, A. Trachtenberg and A. Vardy, “Which codes have cycle-free Tanner graphs ?,” *IEEE Trans. on Information Theory*, vol. 45, no. 6, pp. 2173–2181, September 1999.

## Question

*What can be said about the cardinality of the fundamental system of cycles of LDPC code ensembles as a function of the achievable gap (in rate) to capacity ?*

## Theorem

Let  $\{(n, \lambda, \rho)\}$  be a sequence of LDPC code ensembles transmitted over an MBIOS channel. Suppose that the design rate is a fraction  $1 - \varepsilon$  of the channel capacity  $C$ , and the average bit error probability of this sequence vanishes under some decoding algorithm as  $n \rightarrow \infty$ . Consider the average cardinality of the fundamental system of cycles,  $\beta_n(\mathcal{G})$ , where the graphs  $\mathcal{G}$  are chosen uniformly at random from the LDPC code ensemble  $(n, \lambda, \rho)$ . Then, the following result holds:

$$\liminf_{n \rightarrow \infty} \frac{\mathbb{E}[\beta_n(\mathcal{G})]}{n} \geq \frac{(1 - C) \ln \left( g_1 \left[ 1 - 2h_2^{-1} \left( \frac{1 - C}{1 - (1 - \varepsilon)C} \right) \right]^{-2} \right)}{\ln \left( \frac{1}{g_1} \right)} - 1$$

where  $g_1 \triangleq \mathbb{E} \left[ \tanh^2 \left( \frac{L}{2} \right) \right]$  and  $L$  forms the LLR at the channel output.

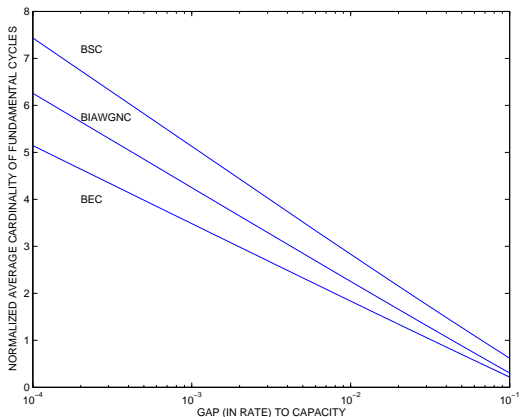
## Corollary

*The average cardinality of the fundamental system of cycles grows at least like  $\log \frac{1}{\varepsilon}$  where the achievable design rate forms a fraction  $1 - \varepsilon$  of the channel capacity.*

⇒ The fundamental system of cycles becomes unbounded as the achievable gap to capacity vanishes (even under ML decoding).

**Essence of the proof of this theorem:** A combination of an improved lower bound on the average right degree (which behaves like  $\log \frac{1}{\varepsilon}$ ), which follows from the lower bound on the conditional entropy, with some simple arguments from graph theory.





**Figure:** Asymptotic lower bounds on the average cardinality of the fundamental system (see Theorem 1). The bounds refer to the BSC, BIAWGNC and BEC where the design rate is  $\frac{1}{2}$  bit per channel use.

## Full Paper Version

I. Sason, “On Universal Properties of Capacity-Approaching LDPC Code Ensembles”, accepted to *IEEE Trans. on Information Theory*, February 2009.